

Dear Client,

This advisory is issued in accordance with the guidelines specified in **NSE Circular NSE/INSP/61754 dated April 25, 2024**, with the objective of enhancing investor protection, transparency, and secure trading practices. You are requested to carefully read and adhere to the points below.

1. Order Placement & Confirmation

- Place orders **only through your registered mobile number or email ID**.
- Voice-based orders, if any, must originate exclusively from your registered number.
- Review and confirm the **Order Confirmation, Trade Confirmation, and Contract Notes** sent to you.
- Report any discrepancy immediately.

2. KYC, Contact Details & Client Credentials

- Ensure your **KYC, mobile number, email ID, bank account, and address** remain updated.
- Communication details must be **unique and not shared** with any other client.
- Any changes must be submitted with valid supporting documents.

3. Funds & Securities – Transfer Protocol

- Transfer funds or securities **only** between:
 - o Your registered bank account and the broker's designated bank account, and
 - o Your own demat/beneficiary accounts.
- Third-party transfers are **strictly prohibited**.
- Before transferring any funds, **verify the official bank details** from our website or authorised communication.

4. Trading Activity & Market Risks

- Undertake trades only after assessing **product suitability, market conditions, and risk appetite**.
- Do not rely on unauthorised tips, messages on social media, or unregistered research channels.
- All trades are **self-directed** and undertaken solely at the client's discretion.

5. Prevention of Unauthorised Trading

- Do not share your **login credentials, OTPs, or passwords** with anyone.
- Check your **ledger, holdings, and daily trade summaries** regularly.
- Immediately report any activity that you have not authorised.

6. Fraud Alert: Beware of Impersonation

We have observed instances in the industry where fraudsters attempt to **impersonate brokers, relationship managers,**



Corporate Office:

61, 6th Floor,
Maker Chambers III,
223, Nariman Point,
Mumbai - 400021
91 22 22722620/1082

Regd Office:

PG-11, Ground Floor.
Stock Exchange Rotunda Bldg.,
B. S. Marg, Mumbai - 400 001.

Mehta Vakil & Co. Pvt. Ltd.

CIN: U65990MH1994PTC079832
SEBI REGN. NO: INZ000233031
CDSL REGN. NO: IN-DP-125-2015
AMFI REGN. NO : ARN-167455

or compliance staff.

To safeguard yourself:

Always ensure you are interacting with genuine representatives of Mehta Vakil & Co. Pvt. Ltd.:

- We communicate **only** through our official phone numbers and email IDs.
- We **never** ask clients to transfer funds to personal accounts, third-party accounts, or unknown UPI IDs.
- We **do not** send trading tips, profit-assured schemes, or investment guarantees.
- If you receive any suspicious call, message, WhatsApp communication, or email claiming to be from **Mehta Vakil & Co. Pvt. Ltd.**, please verify with us immediately.

What you should do if you suspect impersonation:

- Do **not** share personal, financial, or trading information.
- Do **not** transfer funds until the request is verified with us.
- Forward the suspicious message or number to our official contact for confirmation.
- Report immediately so that we can take necessary steps.

Your vigilance is an important step in protecting yourself from fraud.

Actions We Will Take if We Detect or Are Informed About Impersonation

If we become aware that someone is impersonating our entity, brand, staff, or services, we shall:

- **Initiate action for takedown** of such fake apps, websites, advertisements, or social media posts.
- **File police complaints / cyber complaints** against the impersonators.
- **Issue public notices** warning investors about the fraudulent activity.
- **Notify affected or general clients** about such impersonation and guide them to access only verified and authentic platforms.
- **Advise clients to verify authenticity** by:
 - o Visiting our **official website**,
 - o Checking verified and officially tagged **social media handles**,
 - o Or contacting our **customer care team** at our official email ID / phone number.

Important Advice to All Clients

- **Do NOT participate in, subscribe to, or respond to any product, offer, scheme, or investment solicitation** that is not issued through our official communication channels.
- Report any suspicious communication immediately to us for verification and necessary action.

7. Surveillance, Alerts & Compliance Queries

- The Exchange may generate alerts based on trading patterns or risk indicators.
- If we contact you for clarification, please cooperate and provide the required documents promptly.



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8. Margin & Risk Management Requirements

- Maintain adequate **margin** as required by NSE regulations.
- Margin shortfalls may lead to penalties, restrictions, or auto square-off.
- Regularly monitor your margin status through back-office statements.

For Assistance or Verification

If you have doubts about any communication or need to verify authenticity, please contact us

For,

Mehta Vakil & Co. Pvt. Ltd.

Jayesh J. Mehta - Director



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